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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/751,331	01/02/2004	Andrew Heiges	0326-141 [009974-5054]

009629  
MORGAN LEWIS & BOCKIUS LLP  
1111 PENNSYLVANIA AVENUE NW  
WASHINGTON, DC 20004

CONFIRMATION NO. 7616  
FORMALITIES LETTER  
  
 \*OC000000012352776\*

Date Mailed: 04/15/2004

### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

07/26/2004 BARBRAHAI 00000022 500310 10751331      FILED UNDER 37 CFR 1.53(b)

01 FC:1001      770.00 DA  
02 FC:1051      130.00 DA

*Filing Date Granted*

#### Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
  - The drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch). See Figures(s) 2B, 4A, 16.
  - The drawings have a line quality that is too light to be reproduced (weight of all lines and letters must be heavy enough to permit adequate reproduction) or text that is illegible (reference characters, sheet numbers, and view numbers must be plain and legible) see 37 CFR 1.84(l) and (p)(1); See Figure(s) 23, 24.
  - The drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper, which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e)). See Figure(s) 2b-2d, 4a-13.

**RECEIVED**

APR 19 2004

MORGAN, LEWIS & BOCKIUS LLP

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is **\$900** for a Large Entity

- **\$770** Statutory basic filing fee.
- **\$130** Late oath or declaration Surcharge.

**Items Required To Avoid Processing Delays:**

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

- A new oath or declaration, identifying this application number is required. The oath or declaration does not comply with 37 CFR 1.63 in that it:
- does not identify the citizenship of each inventor.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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*A copy of this notice MUST be returned with the reply.*



Customer Service Center  
Initial Patent Examination Division (703) 308-1202  
PART 2 - COPY TO BE RETURNED WITH RESPONSE

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicant:	Heiges	Art Unit:	3624
Application No.	10/751,331	Examiner:	
Filed:	02 JAN 2004	Confirmation No.	7617
		Attorney Docket No.	9974-34-5054-01-US
Title:	TECHNIQUES FOR ILLUSTRATING AND ANALYZING COLLEGE SAVINGS PLANS		

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 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION,  
 SUBMISSION OF FORMAL DRAWINGS,  
 and TWO MONTH EXTENSION OF TIME**

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application mailed April 15, 2004, enclosed are the following:

1. A copy of the Notice to File Missing Parts (2 pages);
2. Executed Declaration and Power of Attorney; and
3. 37 (thirty-seven) sheets of formal drawings, corresponding to Figures 1 through 24A in the subject application. Applicant respectfully requests that the formal drawings be made of record in the subject application

It is respectfully requested that the time for response to the Notice to File Missing Parts dated April 15, 2004 be extended Two Months from June 15, 2004 to and including August 15, 2004. The estimated fee for this extension is \$420. Please charge the required fee to Deposit Account No. 50-0310.

The Commissioner is hereby authorized to charge the \$770 Application Fee and \$130 Late Oath/Declaration Surcharge to Deposit Account No. 50-0310. A duplicate copy of this page is enclosed.

Respectfully submitted,

  
 Steven D. Underwood, Esq. Reg. No. 47,205  
**MORGAN, LEWIS & BOCKIUS LLP**  
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 (202) 739-3000  
 Customer Number No. 09629

Dated: July 21, 2004

07/26/2004 BABRAHA1 00000023 500310 10751331

01 FC:1252

420.00 DA